

NOV 05 2004

**BY FACSIMILE (703) 872-9306**

File No.: 14296-17US-1 JA/ld

November 5, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gérald DUHAMEL  
Serial No.: 10/686,532  
Filed: October 16, 2003  
Title: AUXILIARY GAMES OF A LOTTERY-TYPE FORMAT AND  
METHOD OF PLAY  
Group Art Unit: 3713  
Agent of Record: James ANGLEHART Tel.: (514) 847-4244  
Customer No.: 031831

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**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

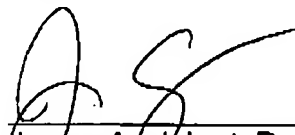
Sir:

Pursuant to the duty of disclosure under 37 CFR 1.56, you will find attached PTO SB-08 Form, in duplicate.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO SB-08 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

  
James Anglehart, Reg. No. 38,796  
Agent of Record

Enc.: 2 sets of PTO SB-08 Forms

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
Application Number			10/686,532	
Filing Date			October 18, 2003	
First Named Inventor			Duhamel, G�rald	
Art Unit			NO	
Examiner Name			NO	
Attorney Docket Number			14298-17US-1	

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U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patents or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code <sup>2</sup> (If known)			
		US- 2002/0039917 A1	04-04-2002	Amstrong et al.	
		US- 2002/0082070 A1	06-27-2002	Macke et al.	
		US- 4,652,998	03-24-1997	Koza et al.	
		US- 4,817,951	04-04-1989	Clapper	
		US- 5,536,008	01-30-1996	Clapper	
		US- 5,580,311	07-16-1996	Haste	
		US- 5,595,536	12-03-1996	Haste	
		US- 5,609,337	01-21-1997	Clapper	
		US- 5,645,485	03-11-1997	Clapper	
		US- 5,647,592	07-08-1997	Gerow	
		US- 5,749,784	05-12-1998	Clapper	
		US- 5,810,664	09-22-1998	Clapper	
		US- 5,941,771	08-24-1999	Haste	
		US- 5,944,608	08-31-1998	Gerow	
		US- 6,053,813	04-25-2000	Mathis	
		US- 6,058,289	05-02-2000	Clapper	
		US- 6,241,806	08-05-2001	Riendeau et al.	
		US- 6,599,187	07-09-2003	Gerow	
		US- 6,648,766	11-18-2003	Luciano et al.	
		US- 6,676,126	01-13-2004	Walker et al.	

[illegible]

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	2	of	2
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**Complete if Known**

Application Number	10/686,532
Filing Date	October 16, 2003
First Named Inventor	Duhamel, G�rald
Art Unit	
Examiner Name	
Attorney Docket Number	14296-17US-1

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

Data Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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			Application Number	10/686,532	
			Filing Date	October 16, 2003	
			First Named Inventor	Duhamel, G�rald	
			Art Unit		
			Examiner Name		
			Attorney Docket Number	14296-17US-1	
Sheet	1	of	2		

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		US- 6,599,187	07-09-2003	Gerow	
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